



# **Quarterly Report on Agency Services to Floridians with Developmental Disabilities and Their Costs**

First Quarter Fiscal Year 2017-18  
(July, August, September)

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Barbara Palmer  
Director

Rick Scott  
Governor

The Agency for Persons with Disabilities (APD) administers Medicaid waivers providing supports to over 34,000 individuals with developmental disabilities across Florida enabling them to live, learn and work in their communities. APD also provides limited services to over 20,000 individuals on the Waiting List for waiver services. These individuals have autism, intellectual disability, spina bifida, cerebral palsy, Down syndrome, Prader-Willi syndrome, Phelan-McDermid syndrome, or are children ages 3 to 5 who are at high risk of being diagnosed with a developmental disability.

To meet the needs of the diverse population it serves, APD offers a wide array of services for individuals who are living in their own homes, family homes, or in licensed group facilities. Some of the 27 services currently provided by the agency include life skills development (companion services, adult day training, and employment services), occupational therapy, behavior analysis, adaptive and medical equipment, residential habilitation and physical therapy.

For the period of July through September 2017, over 700 individuals on the Waiting List for waiver services received limited services funded from General Revenue and the Social Services Block Grant (SSBG) through the agency, and over 9,500 Medicaid eligible individuals received some state services through the Medicaid State Plan. About 10,500 individuals on the Waiting List for waiver services did not receive any services through APD or the Medicaid State Plan during this period.

## **Historical Overview**

On May 1, 2011, the agency began implementation of the new Developmental Disabilities Home & Community Based Services Individual Budgeting Waiver known as iBudget Florida. The iBudget Florida waiver uses an individual budgeting approach intended to enhance the simplicity, sustainability, and equity of the system while also increasing individuals' opportunities for self-direction. As of July 1, 2013, APD completed the transition of waiver clients to the iBudget Florida waiver statewide from the Tier Waiver system that had been in place since 2008.

Please share with us any comments or suggestions you have regarding this report. APD's Chief of Staff, Karen Hagan, may be reached at 850-414-8916.

## ***Glossary of Terms Used in Report***

**APD** - Agency for Persons with Disabilities

**CDC+ Program** - Consumer-Directed Care Plus Program

**iBudget Waiver** - Developmental Disabilities Individual Budgeting Home & Community Based Services Waiver

**IFS** - Individual and Family Supports

This report is prepared and distributed pursuant to section 393.0661(9), Florida Statutes.

“The Agency for Persons with Disabilities shall submit quarterly status reports to the Executive Office of the Governor, the chair of the Senate Ways and Means Committee or its successor, and the chair of the House Fiscal Council or its successor regarding the financial status of home and community-based services, including the number of enrolled individuals who are receiving services through one or more programs; the number of individuals who have requested services who are not enrolled but who are receiving services through one or more programs, with a description indicating the programs from which the individual is receiving services; the number of individuals who have refused an offer of services but who choose to remain on the list of individuals waiting for services; the number of individuals who have requested services but who are receiving no services; a frequency distribution indicating the length of time individuals have been waiting for services; and information concerning the actual and projected costs compared to the amount of the appropriation available to the program and any projected surpluses or deficits...”

## 1. Services Received by Waiver Enrollees

Tables 1a, 1b, 1c and 1d provide information on services received by persons enrolled in APD waivers.

Table 1a: Waiver Enrollment and Payments \*

Month	iBudget CDC		iBudget		All Waivers	
	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments	Enrolled Clients**	Total Waiver Payments
Jul-17	2,900	\$7,957,212	31,155	\$80,172,615	34,055	\$88,129,827.26
Aug-17	2,905	\$7,993,737	31,206	\$83,719,937	34,111	\$91,713,673.90
Sep-17	2,950	\$8,103,599	31,194	\$68,091,113	34,144	\$76,194,711.95

\* Since waiver payments are reported in this table by month of payment rather than by month of service, clients may show claim payments simultaneously under multiple waivers.

\*\*As of the first day of the month.

Source: Allocation, Budget, and Contracts (ABC) Database and Medicaid HP Data Warehouse as of November 1, 2017.

Table 1b summarizes types of services received by waiver enrollees. In addition to iBudget Florida waiver, individuals may receive services through the Consumer-Directed Care Plus (CDC+) Program, offered as an option under the Medicaid State Plan. The CDC+ Program offers comparable services to the waiver, but it allows greater flexibility and more choice in client selection of providers and services. Table 1b also includes two types of services funded by APD that are not part of Medicaid: Individual and Family Supports (IFS) and Room and Board. The former, paid from General Revenue and the Social Services Block Grant, comprises services to persons not eligible for waiver services, services to persons waiting for waiver enrollment, and services to persons enrolled in a waiver for which the service is not a waiver service. Room and Board, paid entirely from General Revenue, provides payment to licensed residential providers for individuals to cover the basic costs of room and board and supervision.

Table 1b: Types of Services Received by Waiver-Enrolled Clients

Service Month	Client Counts by Service Category for Billed Services				
	iBudget CDC	iBudget	IFS	Room\Board	Client Total*
Jul-17	2,858	31,552	317	536	32,093
Aug-17	2,888	31,488	364	477	32,040
Sep-17	2,926	31,345	342	478	31,934

\*Clients are counted only once regardless of the number of different services they received. Based on historical payment patterns, waiver, IFS and Room & Board services are undercounted due to the anticipated unsubmitted claims for the reported service months as of the database effective date.

Source: ABC Database and Medicaid HP Data Warehouse as of November 1, 2017.

## 1. Services Received by Waiver Enrollees (continued)

In addition to the previously cited services, many waiver enrollees receive Medicaid State Plan services. Table 1c summarizes the number and percent of waiver enrollees who use these services.

Table 1c: Clients Using Medicaid State Plan Services by Month of Service

Service Month	Total Waiver Enrollment	Medicaid State Plan	
		#	%
Jul-17	34,055	17,770	52.18%
Aug-17	34,111	17,253	50.58%
Sep-17	34,144	17,076	50.01%

Note: Enrolled as of the first day of the month in which the services were received.  
Source: ABC Database and Medicaid HP Data Warehouse as of November 1, 2017.

Table 1d lists the number of clients using individual waiver services. Because clients typically use multiple services, the client total at the bottom of the table is an unduplicated count.

Table 1d: Clients Using Individual Waiver Services by Month of Service Payment

Service Description	iBudget		
	Jul-17	Aug-17	Sep-17
Adult Dental Services	549	952	904
Behavior Analysis - Level 1	958	618	803
Behavior Analysis - Level 2	702	508	607
Behavior Analysis - Level 3	1,815	1,423	1,612
Behavior Analysis Assessment	35	35	18
Behavior Assistant Services	202	157	181
CDC Monthly Allowance	2,884	2,905	2,931
Consumable Medical Supplies	4,772	4,166	4,298
Dietitian Services	53	45	49
Durable Medical Equipment	46	34	34
Environmental Accessibility Adaptations	9	8	10
Environmental Accessibility Adaptations -- Assessment	0	0	0
Incontinence Supplies; All Types	7,044	5,971	6,170
Life Skills Development - Level 1 (Companion)	3,847	3,524	3,652
Life Skills Development - Level 2 (Supported Empl - Group)	31	5	16
Life Skills Development - Level 2 (Supported Empl - Individual)	1,348	1,180	1,277
Life Skills Development - Level 3 (ADT) - Facility Based	11,407	9,229	10,652
Life Skills Development - Level 3 (ADT) - Off Site	141	50	131

## 1. Services Received by Waiver Enrollees (continued)

Table 1d: Clients Using Individual Waiver Services (continued)

Service Description	iBudget		
	Jul-17	Aug-17	Sep-17
Occupational Therapy	486	439	457
Occupational Therapy - Assessment	0	0	0
Personal Emergency Response System - Installation	4	1	0
Personal Emergency Response System - Service	97	98	95
Personal Supports	10,918	10,471	10,534
Physical Therapy	968	903	903
Physical Therapy - Assessment	0	0	0
Private Duty Nursing	255	253	252
Residential Habilitation - Assisted Living Facility (month)	401	416	410
Residential Habilitation - Behavioral Focus (day)	48	19	51
Residential Habilitation - Intensive Behavior (day)	461	372	432
Residential Habilitation - Intensive Behavior CTEP (day)	133	132	132
Residential Habilitation - Standard (day)	238	372	215
Residential Habilitation (month)	7,475	7,209	7,205
Residential or Skilled Nursing - LPN	127	98	113
Residential or Skilled Nursing - RN	35	23	26
Respiratory Therapy	41	39	35
Respiratory Therapy - Assessment	0	1	0
Respite (under 21 only)	1,567	1,496	1,450
Respite, Skilled	8	8	10
Special Medical Home Care	19	19	19
Specialized Mental Health Assessment	1	2	1
Specialized Mental Health Counseling	181	133	139
Speech Therapy	475	416	442
Speech Therapy - Assessment	3	4	4
Support Coordination	25,423	24,154	24,594
Support Coordination - CDC Consultant	2,300	2,108	2,108
Support Coordination (Enhanced)	18	10	13
Support Coordination (Limited)	2,537	2,367	2,388
Support Coordination (Limited) - CDC	376	356	347
Supported Living Coaching	3,364	2,940	3,150
Transportation - mile	75	40	75
Transportation - month	1,134	871	1,143
Transportation - trip	6,953	5,709	6,512
<b>Unduplicated Client Count</b>	<b>32,047</b>	<b>32,003</b>	<b>31,871</b>

Note: Based on historical payment patterns waiver services are incomplete due to anticipated unsubmitted claims.  
Source: Medicaid HP Data Warehouse as of November 1, 2017.

## 2. Services Received by Persons on the Waiting List

Table 2a lists APD services received in July, August, and September 2017 by individuals who requested enrollment in a waiver but were not enrolled as of the first day of the respective months. Funding for these services came from General Revenue and the Social Services Block Grant. Individuals on the Waiting List ages 21 or younger may also receive services from the Florida Department of Education, Medicaid State Plan, and other state and local resources.

Table 2a: Client Counts of Services Provided  
by APD to Clients Waiting for Waiver Services as  
of July 1, August 1, and September 1, 2017

	Service Month		
	Jul-17	Aug-17	Sep-17
<b>Total Wait List at Beginning of Month*</b>	<b>20,723</b>	<b>20,705</b>	<b>20,829</b>
<b>PAID SERVICE</b>			
ADULT DAY TRAINING	257	257	233
BEHAVIOR ANALYSIS	2	1	0
COMMUNITY BASED EMPLOYMENT	130	126	107
DENTAL SERVICES	0	0	0
ELIGIBILITY AND PLANNING	3	3	0
EMPLOYMENT ENHANCEMENT PROJECT	169	172	144
HOME ASSISTANCE	18	23	17
LONG TERM RESIDENTIAL SVS	20	21	20
MEDICAL SERVICES	2	2	3
OCCUPATIONAL THERAPY	0	0	0
PERSONAL/FAMILY CARE SVS	15	16	9
PHYSICAL THERAPY	0	0	0
PRE-SUPPORTED TRANSITIONAL LIVING	31	33	23
PSYCHOLOGICAL THERAPY	42	39	33
RECREATIONAL THERAPY	0	0	0
RESIDENTIAL HABILITATION SVS	21	19	20
RESPIRE CARE	21	26	19
SPEECH THERAPY	0	0	0
SUPPLIES/EQUIPMENT	26	27	19
SUPPORT COORDINATION	24	25	12
SUPPORTED LIVING	14	13	10
TRANSPORTATION	94	95	88
<b>UNDUPLICATED TOTAL</b>	<b>724</b>	<b>721</b>	<b>624</b>

Source: Waiting List and ABC Databases as of November 1, 2017.

Table 2b provides client counts of persons on the Waiting List who received APD services (see Table 2a) or Medicaid State Plan services. The APD services are provided with state General Revenue and Social Services Block Grant funds. Because some clients received both APD and Medicaid State Plan services, the client count in the fourth row is an unduplicated total rather than a sum of the two prior rows. The last two rows in the table provide information on Waiting List clients who received neither APD services nor Medicaid State Plan services. Note that some Waiting List clients are not currently eligible for Medicaid State Plan services.

Table 2b: Client Counts of Non-Medicaid and Medicaid State Plan Services Received by Clients Waiting for Services as of July 1, August 1, and September 1, 2017\*

Row		Service Month		
		Jul-17	Aug-17	Sep-17
1	<b>Total Waiting List at Beginning of Month*</b>	20,723	20,705	20,829
2	<b>Client Count for APD Non-Medicaid Services</b>	724	721	624
3	<b>Client Count for Medicaid State Plan Medical, Facility, and Pharmacy Services***</b>	9,742	9,764	9,539
4	<b>All Waiting List Clients Receiving Services**</b>	10,263	10,289	9,952
5	<b>Count of Waiting List Clients Not Receiving Services</b>	10,460	10,416	10,877
6	<b>Percent of Waiting List Not Receiving Services</b>	50.48%	50.31%	52.22%

\*Clients are counted only once regardless of the number of different services they received.

\*\* Unduplicated count for the clients receiving Medicaid services or APD services or both.

\*\*\*Based on historical payment patterns, Medicaid State Plan services may be undercounted due to unsubmitted claims for the reported service months as of the effective date.

Source: Waiting List, ABC Databases and Medicaid HP Data Warehouse as of November 1, 2017.

### ***3. Waiver Enrollment in Fiscal Year 2017-18***

Table 3 summarizes new waiver enrollments for FY 2017-18. Crisis cases are enrollees whose needs for services have been determined to require priority enrollment as defined in statute and rule. CBC Children are children on the Waiting List for iBudget Waiver who have open cases in the Department of Children and Families' child welfare system. Beginning in FY 2013-14, the



agency received additional appropriation to begin offering enrollment to individuals from the Waiting List as specified in proviso.

Table 3: New Waiver Enrollment

<b>Month</b>	<b>Crisis Enrolled</b>	<b>Waitlist Offered &amp; Enrolled</b>	<b>CBC Kids Enrolled</b>	<b>Total Enrolled</b>
Jul-15	91	16	16	123
Aug-15	71	6	8	85
Sep-15	101	11	18	130
Oct-15	105	10	16	131
Nov-15	87	35	9	131
Dec-15	57	644	7	708
Jan-16	51	20	5	76
Feb-16	50	21	4	75
Mar-16	58	21	11	90
Apr-16	74	118	4	196
May-16	90	77	16	183
Jun-16	73	69	13	155
Jul-16	65	23	8	96
Aug-16	71	183	9	263
Sep-16	68	161	11	240
Oct-16	93	114	10	217
Nov-16	69	100	15	184
Dec-16	74	89	15	178
Jan-17	70	58	5	133
Feb-17	92	42	8	142
Mar-17	87	42	6	135
Apr-17	94	23	15	132
May-17	109	18	12	139
Jun-17	110	17	8	135
Jul-17	107	3	9	119
Aug-17	99	2	12	113
Sep-17	85	3	7	95
<b>Total</b>	<b>2,201</b>	<b>1,926</b>	<b>277</b>	<b>4,404</b>

Source: ABC Database as of November 1, 2017 and other ABC tracking systems.

## **4. Length of Wait for Waiver Services**

Table 4 displays a frequency distribution of the length of time individuals have been waiting for waiver services. Agency policy allows people to remain on the Waiting List if they currently do not need services or do not qualify for Medicaid at the time. These counts include individuals who declined waiver enrollment offers and those who received other state assistance.

Table 4: Length of Wait for Any Waiver Services  
as of November 1, 2017

Length of Wait	Date Placed on Wait List	Wait List Clients	
		#	%
1 Year or Less	November 1, 2016 or later	2,047	9.8
1+ to 2 Years	November 1, 2015 - October 31, 2016	1,693	8.1
2+ to 3 Years	November 1, 2014 - October 31, 2015	1,422	6.8
3+ to 4 Years	November 1, 2013 - October 31, 2014	1,185	5.7
4+ to 5 Years	November 1, 2012 - October 31, 2013	984	4.7
5+ to 6 Years	November 1, 2011 - October 31, 2012	1,200	5.7
6+ to 7 Years	November 1, 2010 - October 31, 2011	1,248	6.0
7+ to 8 Years	November 1, 2009 - October 31, 2010	1,337	6.4
8+ to 9 Years	November 1, 2008 - October 31, 2009	1,390	6.6
9+ to 10 Years	November 1, 2007 - October 31, 2008	1,343	6.4
More than 10 Years	On or before October 31, 2007	7,112	33.9
<b>Total Wait List*</b>		<b>20,961</b>	<b>100.0</b>

Source: Waiting List Database as of November 1, 2017.

## **5. Projected Waiver Costs and Appropriations**

Table 5 provides information concerning projected waiver costs compared to the available appropriations and any projected surpluses or deficits.

Table 5: Fiscal Year 2017-18 Waiver Budget Forecast

FY 2017-18 APD WAIVER PROJECTIONS Blended rate adopted by the SSEC for FY 2017-18	General Revenue	Trust Funds	Total
	0.3838	0.6162	
<b>Appropriation</b>	\$ 410,768,081	\$ 659,497,894	\$ 1,070,265,975
<b>Back of Bill Section 38 SB 2500</b>	\$ 15,742,419	\$ 25,274,828	\$ 41,017,247
<b>New Appropriation</b>	\$ 426,510,500	\$ 684,772,722	\$ 1,111,283,222
<b>Less Prior Years Carry Forward Balance</b>	\$ (492,339)	\$ (756,254)	\$ (1,248,593)
<b>Less FY 2017-18 Estimated Expenditures</b>	\$ (426,018,161)	\$ (684,016,468)	\$ (1,110,034,629)
<b>Total APD Waiver Balance FY 2017-18</b>	\$ -	\$ -	\$ -